

# THE EFFECTS OF GENERAL REGULAR TRANSFORMATIONS ON OSCILLATIONS OF SEQUENCES OF FUNCTIONS\*

BY

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## 1. INTRODUCTION

Recently the writer<sup>†</sup> has considered the behavior of continuous oscillation, continuous convergence, uniform oscillation, and uniform convergence of complex and real sequences of functions under complex and real regular transformations with triangular matrices; oscillation and convergence being in each case considered (1) over a set as a whole, (2) at a single point of a set, and (3) at all points of a set. It is the object of the present paper to outline an extension of that investigation, considering regular transformations of a general form which includes practically all of the transformations used in the theory of summability.<sup>‡</sup>

## 2. TRANSFORMATIONS

Let  $T$  and  $A$  be sets of metric spaces, let  $T$  have a limit point  $t_0$  not belonging to  $T$ , and let functions  $a_k(t)$ ,  $k = 1, 2, 3, \dots$ , be defined over  $T$ . If a sequence  $\{s_n(x)\}$ , defined over  $A$ , is such that

$$(G) \quad \sigma(t, x) = \sum_{k=1}^{\infty} a_k(t) s_k(x)$$

converges for all  $t$  in  $T$  and  $x$  in  $A$  and if

$$\lim_{t \rightarrow t_0} \sigma(t, x) = \sigma(x), \S$$

then (G) is said to assign the value  $\sigma(x)$  to the sequence  $\{s_n(x)\}$ .

It is convenient to regard (G) as being a transformation which carries a *given sequence*  $\{s_n(x)\}$  into a *transformed function*  $\sigma(t, x)$ . The transformation (G) is said to be real if  $a_k(t)$  is real for all  $k$  and for all  $t$  in  $T$ ; otherwise it is

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<sup>†</sup> These Transactions, vol. 32 (1930), pp. 669–708. This paper will be referred to hereafter as Trans.

<sup>‡</sup> See Carmichael, Bulletin of the American Mathematical Society, vol. 25 (1918–19), p. 118; J. Schur, Journal für Mathematik, vol. 151 (1920), p. 82; and W. A. Hurwitz, Bulletin of the American Mathematical Society, vol. 28 (1922), p. 18.

<sup>§</sup> Here, as elsewhere in this paper,  $t$  is restricted to approaching  $t_0$  over the set  $T$ .

complex. Except in cases where a specific statement to the contrary is made, the transformations and sequences considered in this paper may be complex. The following conditions\* are listed together for convenience:

- C<sub>1</sub>:  $\sum_{k=1}^{\infty} |a_k(t)|$  is bounded for all  $t$  in  $T$ ;  
 C<sub>2</sub>: for each  $k$ ,  $\lim_{t \rightarrow t_0} a_k(t) = 0$ ;  
 C<sub>3</sub>:  $\lim_{t \rightarrow t_0} \sum_{k=1}^{\infty} a_k(t) = 1$ ;  
 C<sub>4</sub>:  $\lim_{t \rightarrow t_0} \sum_{k=1}^{\infty} |a_k(t)| = 1$ ;  
 C<sub>5</sub>: for each  $k$ ,  $a_k(t) = 0$  for all sufficiently advanced  $t$ ;<sup>†</sup>  
 C<sub>6</sub>:  $\sum_{k=1}^{\infty} a_k(t) = 1$  for all sufficiently advanced  $t$ .

Since we are considering only *regular* transformations<sup>‡</sup> we shall use the symbol  $(G)$  to represent a regular transformation. It is well known that C<sub>1</sub>, C<sub>2</sub>, and C<sub>3</sub> are necessary and sufficient for the regularity of a complex transformation when applied to complex sequences, and for the regularity of a real transformation when applied to real sequences. Hence  $(G)$ , *complex or real, satisfies C<sub>1</sub>, C<sub>2</sub>, and C<sub>3</sub>.*

### 3. OSCILLATIONS

Let a sequence  $\{s_n(x)\}$  be defined over a set  $A$ . For continuous oscillations of a sequence we have the two following definitions. The continuous oscillation of  $\{s_n(x)\}$  over the set  $A$  (which we shall call the  $\Omega$ -oscillation of  $\{s_n(x)\}$  over  $A$ ) is denoted by  $\Omega(\{s_n\}, A)$  and is defined as follows: for each sequence  $\{x_i\}$  of points of  $A$ , form

$$\limsup_{m \rightarrow \infty, n \rightarrow \infty, i \rightarrow \infty, j \rightarrow \infty} |s_m(x_i) - s_n(x_j)| = v;$$

the least upper bound of all such  $v$  is  $\Omega(\{s_n\}, A)$ . Similarly the continuous

\* These conditions are analogous to the corresponding conditions of Trans. To see this, we may specialize  $(G)$  as in §9 of this paper, and then impose the further condition  $a_{nk} = 0, k > n$ . Then  $(G)$  assumes the form  $\sigma_n(x) = \sum_{k=1}^n a_{nk} s_k(x)$ , of a transformation with a triangular matrix; and the conditions C<sub>1</sub>, . . . , C<sub>6</sub> become the corresponding conditions of Trans. Owing to these circumstances, the lemmas and theorems of §§4-7 include corresponding results of Trans. to which the reader will be referred by footnotes.

† I.e. for all points  $t$  of  $T$  which lie in a sufficiently small neighborhood of  $t_0$  in  $T$ .

‡ A transformation is said to be regular when it assigns to each convergent sequence the value to which it converges.

oscillation of  $\{s_n(x)\}$  at a point  $x_0$  of  $A^{0*}$  over the set  $A$  (which we shall call the  $\Omega$ -oscillation of  $\{s_n(x)\}$  at  $x_0$  over  $A$ ) is denoted by  $\Omega(x_0; \{s_n\}, A)$  and is defined as follows: for each sequence  $\{x_i\}$  of points of  $A$  with the limit  $x_0$ , form

$$\limsup_{m \rightarrow \infty, n \rightarrow \infty, i \rightarrow \infty, j \rightarrow \infty} |s_m(x_i) - s_n(x_j)| = v;$$

the least upper bound of all such  $v$  is  $\Omega(x_0; \{s_n\}, A)$ .

For uniform oscillations of sequences, we have the two following definitions. The uniform oscillation of  $\{s_n(x)\}$  over the set  $A$  (which we shall call the  $O$ -oscillation of  $\{s_n(x)\}$  over  $A$ ) is denoted by  $O(\{s_n\}, A)$  and is defined as follows: for each sequence  $\{x_i\}$  of  $A$ , form

$$\limsup_{m \rightarrow \infty, n \rightarrow \infty, i \rightarrow \infty} |s_m(x_i) - s_n(x_i)| = v;$$

the least upper bound of all such  $v$  is  $O(\{s_n\}, A)$ . Similarly the uniform oscillation of  $\{s_n(x)\}$  at a point  $x_0$  of  $A^0$  over the set  $A$  (which we shall call the  $O$ -oscillation of  $\{s_n(x)\}$  at  $x_0$  over  $A$ ) is denoted by  $O(x_0; \{s_n\}, A)$  and is defined as follows: for each sequence  $\{x_i\}$  of points of  $A$  with the limit  $x_0$ , form

$$\limsup_{m \rightarrow \infty, n \rightarrow \infty, i \rightarrow \infty} |s_m(x_i) - s_n(x_i)| = v;$$

the least upper bound of all such  $v$  is  $O(x_0; \{s_n\}, A)$ .

For the corresponding  $\Omega$ -oscillations of transformed functions, we have the two following definitions. Let  $t$  and  $u$  be points of  $T$ , and for each sequence  $\{x_i\}$  of points of  $A$ , form

$$\limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty, j \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_j)| = v;$$

the least upper bound of all such  $v$  is the  $\Omega$ -oscillation of  $\sigma(t, x)$  over  $A$  and will be denoted by  $\Omega(\sigma, A)$ . Similarly, for each sequence  $\{x_i\}$  of  $A$  with the limit  $x_0$ , form

$$\limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty, j \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_j)| = v;$$

the least upper bound of all such  $v$  is the  $\Omega$ -oscillation of  $\sigma(t, x)$  at  $x_0$  over  $A$  and will be denoted by  $\Omega(x_0; \sigma, A)$ .

For the  $O$ -oscillations of transformed functions, we have the two following definitions. For each sequence  $\{x_i\}$  of points of  $A$ , form

$$\limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_i)| = v;$$

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\*  $A^0$  is used to denote the set consisting of  $A$  and its limit points.

the least upper bound of all such  $v$  is the  $O$ -oscillation of  $\sigma(t, x)$  over  $A$  and will be denoted by  $O(\sigma, A)$ . Similarly, for each sequence  $\{x_i\}$  of points of  $A$  with the limit  $x_0$ , form

$$\limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_i)| = v;$$

the least upper bound of all such  $v$  is the  $O$ -oscillation of  $\sigma(t, x)$  at  $x_0$  over  $A$  and will be denoted by  $O(x_0; \sigma, A)$ .

#### 4. SOME FUNDAMENTAL LEMMAS

**LEMMA 4.1.** *If (G) fails to satisfy  $C_4$ , then there is a bounded sequence  $\{s_n\}$  of constants such that*

$$\limsup_{t \rightarrow t_0, u \rightarrow t_0} |\sigma(t) - \sigma(u)| > \limsup_{m \rightarrow \infty, n \rightarrow \infty} |s_m - s_n|.$$

*If (G) is real,  $\{s_n\}$  may be taken real.\**

From  $C_3$  and a denial of  $C_4$ , it follows that there is a number  $\theta$  for which

$$\limsup_{t \rightarrow t_0} \sum_{k=1}^{\infty} |a_k(t)| > \theta > 1.$$

Hence there is a sequence  $\{t_n\}$  with the limit  $t_0$  such that

$$(4.11) \quad \sum_{k=1}^{\infty} |a_k(t_n)| > \theta \quad (n = 1, 2, 3, \dots).$$

Let  $n_1$  be any positive integer and choose  $N_1 > n_1$  such that

$$\sum_{k=N_1+1}^{\infty} |a_k(t_{n_1})| < 1.$$

Using  $C_2$ , choose  $n_2 > N_1$  such that

$$\sum_{k=1}^{N_1} |a_k(t_{n_2})| < \frac{1}{2};$$

then choose  $N_2 > n_2$  such that

$$\sum_{k=N_2+1}^{\infty} |a_k(t_{n_2})| < \frac{1}{2}.$$

Proceeding in this manner, we may define a sequence  $N_0 = 0 < n_1 < N_1 < n_2 < N_2 < n_3 < \dots$  such that for  $p = 1, 2, 3, \dots$

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\* Compare Trans. Lemma 4.01 of which the proof was given by W. A. Hurwitz, American Journal of Mathematics, vol. 52 (1930), pp. 611-616.

$$(4.12) \quad \sum_{k=1}^{N_{p-1}} |a_k(t_{n_p})| < \frac{1}{p} \quad \text{and} \quad \sum_{k=N_{p+1}}^{\infty} |a_k(t_{n_p})| < \frac{1}{p}.$$

From these inequalities and (4.11) we obtain

$$(4.13) \quad \sum_{k=N_{p-1}+1}^{N_p} |a_k(t_{n_p})| > \theta - \frac{2}{p}.$$

Now define\* for  $p=1, 2, 3, \dots$

$$(4.14) \quad s_k = (-1)^{p+1} \operatorname{sgn} a_k(t_{n_p}), \quad N_{p-1} < k \leq N_p.$$

Then  $s_k$  is real for all  $k$  if  $(G)$  is real; and  $|s_k| \leq 1$  for all  $k$  so that

$$\limsup_{m \rightarrow \infty, n \rightarrow \infty} |s_m - s_n| \leq 2.$$

But

$$\sigma(t_{n_{p+1}}) - \sigma(t_{n_p}) = \sum_{k=1}^{\infty} a_k(t_{n_{p+1}}) s_k - \sum_{k=1}^{\infty} a_k(t_{n_p}) s_k,$$

and using (4.14) we obtain

$$\begin{aligned} \sigma(t_{n_{p+1}}) - \sigma(t_{n_p}) &= \sum_{k=1}^{N_p} a_k(t_{n_{p+1}}) s_k - \sum_{k=1}^{N_{p-1}} a_k(t_{n_p}) s_k + \sum_{k=N_{p+1}+1}^{\infty} a_k(t_{n_{p+1}}) s_k \\ &\quad - \sum_{k=N_{p+1}}^{\infty} a_k(t_{n_p}) s_k + (-1)^p \sum_{k=N_{p+1}}^{N_{p+1}} |a_k(t_{n_{p+1}})| + (-1)^p \sum_{k=N_{p-1}+1}^{N_p} |a_k(t_{n_p})|. \end{aligned}$$

Using (4.12) and the fact that  $|s_k| \leq 1$  for all  $k$ , we see that the sum of the absolute values of the first four terms of the right member of the last expression is less than

$$\frac{1}{p+1} + \frac{1}{p} + \frac{1}{p+1} + \frac{1}{p} < \frac{4}{p};$$

and using (4.13) we see that the absolute value of the sum of the last two terms (which are real and of like sign) is greater than

$$\left(\theta - \frac{2}{p+1}\right) + \left(\theta - \frac{2}{p}\right) > 2\theta - \frac{4}{p}.$$

Hence

$$|\sigma(t_{n_{p+1}}) - \sigma(t_{n_p})| > 2\theta - \frac{8}{p}.$$

Thus

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\* For complex  $z$ ,  $\operatorname{sgn} z = |z|/z$  when  $z \neq 0$  and  $=0$  when  $z=0$ .

$$\begin{aligned} \limsup_{t \rightarrow t_0, u \rightarrow t_0} |\sigma(t) - \sigma(u)| &\geq \limsup_{p \rightarrow \infty} |\sigma(t_{n_{p+1}}) - \sigma(t_{n_p})| \geq \lim_{p \rightarrow \infty} \left(2\theta - \frac{8}{p}\right) \\ &= 2\theta > 2 \geq \limsup_{m \rightarrow \infty, n \rightarrow \infty} |s_m - s_n|, \end{aligned}$$

and the lemma is proved.

The four following lemmas may be proved together.

LEMMA 4.2, 4.3. *Let  $A$  be an infinite set and let  $\{x_\alpha\}$  be a sequence of distinct points of  $A$ . In case  $A$  has a limit point  $x_0$ ,  $\{x_\alpha\}$  may be a sequence with the limit  $x_0$ . If  $(G)$ , real or complex, fails to satisfy  $C_5$ , then there is a real sequence  $\{s_n(x)\}$  bounded above (below) over  $A$ , such that*

$$\limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty, j \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_j)| > \limsup_{m \rightarrow \infty, n \rightarrow \infty, i \rightarrow \infty, j \rightarrow \infty} |s_m(x'_i) - s_n(x'_j)| = 0$$

where  $\{x'_\alpha\}$  is any sequence of points of  $A$ .\*

LEMMA 4.4, 4.5. *Under the hypotheses of Lemmas 4.2, 4.3, there is a real sequence  $\{s_n(x)\}$ , bounded above (below) over  $A$  such that*

$$\limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_i)| > \limsup_{m \rightarrow \infty, n \rightarrow \infty, i \rightarrow \infty} |s_m(x'_i) - s_n(x'_i)| = 0,$$

where  $\{x'_\alpha\}$  is any sequence of points of  $A$ .†

From a denial of  $C_5$  it follows that there is a value of  $k$ , say  $\lambda$ , and a sequence  $\{t_\alpha\}$  with the limit  $t_0$  such that

$$a_\lambda(t_\alpha) \neq 0 \quad (\alpha = 1, 2, 3, \dots).$$

Define the sequence  $\{s_n(x)\}$  over  $A$  as follows:  $s_n(x) = 0$  over  $A$  for  $n \neq \lambda$ ;  $s_\lambda(x) = 0$ ,  $x \neq x_1, x_2, \dots$ ; and  $s_\lambda(x_\alpha) = (-1)^h / |a_\lambda(t_\alpha)|$  where  $h = 1 (h = 2)$ . Evidently  $s_n(x)$  is bounded above or below over  $A$  according as  $h$  is 1 or 2, and since  $s_n(x) = 0$  over  $A$  for  $n > \lambda$ ,

$$\limsup_{m \rightarrow \infty, n \rightarrow \infty, i \rightarrow \infty, j \rightarrow \infty} |s_m(x'_i) - s_n(x'_j)| = \limsup_{m \rightarrow \infty, n \rightarrow \infty, i \rightarrow \infty} |s_m(x'_i) - s_n(x'_i)| = 0$$

where  $\{x'_\alpha\}$  is any sequence of points of  $A$ . But  $\sigma(t, x) = a_\lambda(t)s_\lambda(x)$  so that  $|\sigma(t_\alpha, x_\alpha)| = 1$  and  $|\sigma(t_\beta, x_\alpha)| = |a_\lambda(t_\beta)| / |a_\lambda(t_\alpha)|$ ; hence

$$\begin{aligned} \limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty, j \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_j)| &\geq \limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_i)| \\ &\geq \limsup_{\alpha \rightarrow \infty, \beta \rightarrow \infty} |\sigma(t_\alpha, x_\alpha) - \sigma(t_\beta, x_\alpha)| \geq \limsup_{\alpha \rightarrow \infty, \beta \rightarrow \infty} \left| |\sigma(t_\alpha, x_\alpha)| - |\sigma(t_\beta, x_\alpha)| \right| \\ &\geq \limsup_{\alpha \rightarrow \infty, \beta \rightarrow \infty} \left| 1 - |a_\lambda(t_\beta)| / |a_\lambda(t_\alpha)| \right| = +\infty, \end{aligned}$$

\* Compare Trans. Lemmas 4.02, 4.03.

† Compare Trans. Lemmas 4.04, 4.05.

and the lemmas are proved.

LEMMAS 4.6, 4.7. *Let  $A$  be an infinite set and let  $\{x_\alpha\}$  be a sequence of distinct points of  $A$ . In case  $A$  has a limit point  $x_0$ ,  $\{x_\alpha\}$  may be a sequence with the limit  $x_0$ . If  $(G)$ , real or complex, fails to satisfy  $C_6$ , then there is a real sequence  $\{s_n(x)\}$ , bounded above (below) over  $A$ , such that*

$$\limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_i)| > \limsup_{m \rightarrow \infty, n \rightarrow \infty, i \rightarrow \infty} |s_m(x'_i) - s_n(x'_i)| = 0$$

where  $\{x'_i\}$  is any sequence of points of  $A$ .\*

From a denial of  $C_6$  it follows that there is a sequence  $\{t_\alpha\}$  with the limit  $t_0$  such that

$$\sum_{k=1}^{\infty} a_k(t_\alpha) \neq 1 \quad (\alpha = 1, 2, 3, \dots).$$

Define a real function  $s(x)$  over  $A$ , bounded above (below) over  $A$ , such that

$$s(x_\alpha) = (-1)^k \left| 1 - \sum_{k=1}^{\infty} a_k(t_\alpha) \right|;$$

and let  $s_n(x) = s(x)$ ,  $n = 1, 2, 3, \dots$ . Then  $s_m(x) - s_n(x) = 0$  over  $A$  so that

$$\limsup_{m \rightarrow \infty, n \rightarrow \infty, i \rightarrow \infty} |s_m(x'_i) - s_n(x'_i)| = 0$$

where  $\{x'_i\}$  is any sequence of points of  $A$ . But

$$\begin{aligned} \limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_i)| &= \limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty} |s(x_i)| \left| \sum_{k=1}^{\infty} a_k(t) - \sum_{k=1}^{\infty} a_k(u) \right| \\ &\geq \limsup_{u \rightarrow t_0, i \rightarrow \infty} |s(x_i)| \left| 1 - \sum_{k=1}^{\infty} a_k(u) \right| \geq \limsup_{\alpha \rightarrow \infty} |s(x_\alpha)| \left| 1 - \sum_{k=1}^{\infty} a_k(t_\alpha) \right| = 1 \end{aligned}$$

and the lemmas are proved.

## 5. PROOFS OF TYPICAL THEOREMS INVOLVING $\Omega$ -OSCILLATIONS OVER A SET

THEOREM 5.11. *In order that  $(G)$  may be such that*

$$\Omega(\sigma, A) \leq \Omega(\{s_n\}, A)$$

*for every sequence  $\{s_n(x)\}$ , defined over an arbitrary set  $A$  and bounded over  $A$  for all  $n$ ,  $C_4$  is necessary and sufficient.†*

The necessity of  $C_4$  follows from Lemma 4.1 since, for the sequence of constants there defined,  $\Omega(\sigma, A) > \Omega(\{s_n\}, A)$ . To establish sufficiency of  $C_4$ ,

\* Compare Trans. Lemmas 7.01, 7.02.

† Compare Trans. Theorem 4.111.

choose  $M$  such that  $|s_n(x)| < M$  over  $A$  for all  $n$ ,  $B$  such that  $\sum_{k=1}^{\infty} |a_k(t)| < B$  for all  $t$  in  $T$  and let  $\sum_{k=1}^{\infty} |a_k(t)| = B(t)$ . Let  $\{x_i\}$  be any sequence of points of  $A$ , and let  $q$  be any number greater than  $\Omega(\{s_n\}, A)$ ; then there is an index  $p$  such that

$$(5.111) \quad |s_{\mu}(x_i) - s_{\nu}(x_j)| < q \text{ for } \mu \geq p, \nu \geq p, i \geq p, j \geq p.$$

We readily obtain the identity

$$(5.112) \quad \begin{aligned} \sigma(t, x_i) - \sigma(u, x_j) &= \sum_{k=1}^p a_k(t) s_k(x_i) - \sum_{k=1}^p a_k(u) s_k(x_j) \\ &+ \left( \sum_{\mu=p+1}^{\infty} a_{\mu}(t) s_{\mu}(x_i) \right) \left( 1 - \sum_{\nu=p+1}^{\infty} a_{\nu}(u) \right) - \left( \sum_{\nu=p+1}^{\infty} a_{\nu}(u) s_{\nu}(x_j) \right) \left( 1 - \sum_{\mu=p+1}^{\infty} a_{\mu}(t) \right) \\ &+ \sum_{\mu=p+1}^{\infty} \sum_{\nu=p+1}^{\infty} a_{\mu}(t) a_{\nu}(u) [s_{\mu}(x_i) - s_{\nu}(x_j)]. \end{aligned}$$

The absolute values of the first four terms of the right member of (5.112) are respectively less than or equal to

$$M \sum_{k=1}^p |a_k(t)|, \quad M \sum_{k=1}^p |a_k(u)|, \quad MB \left| 1 - \sum_{\nu=p+1}^{\infty} a_{\nu}(u) \right|, \quad \text{and} \quad MB \left| 1 - \sum_{\mu=p+1}^{\infty} a_{\mu}(t) \right|,$$

each of which, by  $C_2$  and  $C_3$ , approaches 0 as  $t$  and  $u$  approach  $t_0$ . Hence

$$\begin{aligned} &\limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty, j \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_j)| \\ &= \limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty, j \rightarrow \infty} \left| \sum_{\mu=p+1}^{\infty} \sum_{\nu=p+1}^{\infty} a_{\mu}(t) a_{\nu}(u) [s_{\mu}(x_i) - s_{\nu}(x_j)] \right|. \end{aligned}$$

But by (5.111)

$$\begin{aligned} \left| \sum_{\mu=p+1}^{\infty} \sum_{\nu=p+1}^{\infty} a_{\mu}(t) a_{\nu}(u) [s_{\mu}(x_i) - s_{\nu}(x_j)] \right| &\leq q \sum_{\mu=p+1}^{\infty} \sum_{\nu=p+1}^{\infty} |a_{\mu}(t)| |a_{\nu}(u)| \\ &\leq qB(t)B(u) \end{aligned}$$

so that

$$(5.113) \quad \limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty, j \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_j)| \leq \limsup_{t \rightarrow t_0, u \rightarrow t_0} [qB(t)B(u)];$$

and using  $C_4$  we have

$$(5.114) \quad \limsup_{t \rightarrow t_0, u \rightarrow t_0, i \rightarrow \infty, j \rightarrow \infty} |\sigma(t, x_i) - \sigma(u, x_j)| \leq q.$$

Since  $\{x_i\}$  is any sequence of points of  $A$ , it follows from (5.114) that



$\Omega(\sigma, A) \leq q$ ; and since  $q$  is any number greater than  $\Omega(\{s_n\}, A)$ ,  $\Omega(\sigma, A) \leq \Omega(\{s_n\}, A)$  and the theorem is proved.

On noting that the proof of the preceding theorem is undisturbed by supposing (G) and the  $\{s_n(x)\}$  sequences to be real, we obtain

**THEOREM 5.12.** *In order that a real (G) may be such that*

$$\Omega(\sigma, A) \leq \Omega(\{s_n\}, A)$$

*for every real sequence  $\{s_n(x)\}$  defined over an arbitrary set  $A$ , and bounded over  $A$  for all  $n$ ,  $C_4$  is necessary and sufficient.\**

**THEOREM 5.13.** *In order that (G) may be such that*

$$\Omega(\sigma, A) \leq \Omega(\{s_n\}, A)$$

*for every sequence  $\{s_n(x)\}$ , defined over an infinite set  $A$ ,  $C_4$  and  $C_5$  are necessary and sufficient.†*

Necessity of  $C_4$  follows from Lemma 4.1; and that of  $C_5$  from Lemma 4.2 (or 4.3) since for the  $\{s_n(x)\}$  sequences there defined,  $\Omega(\{s_n\}, A) = 0$  while  $\Omega(\sigma, A) > 0$ . No proof of sufficiency is required if  $\Omega(\{s_n\}, A) = +\infty$ . If  $\Omega(\{s_n\}, A)$  is finite, let  $q$  be any greater number, let  $\{x_i\}$  be any sequence of points of  $A$ , and choose an index  $p$  such that (5.111) is satisfied. Then  $|s_n(x_i) - s_p(x_p)| < q$  for  $n \geq p, i \geq p$ ; hence there is a constant  $M$  such that  $|s_n(x_i)| < M$  for  $n \geq p, i \geq p$ . Using  $C_5$ , choose a neighborhood  $\Delta$  of  $t_0$  in  $T$  such that  $a_k(t) = 0, k = 1, 2, 3, \dots, p$  for  $t$  in  $\Delta$ . Then, referring to the identity (5.112), we see that the first two terms of the right member vanish for  $t$  and  $u$  in  $\Delta$  and that, for  $i \geq p, j \geq p$ , the second and third terms approach zero as  $t$  and  $u$  approach  $t_0$ . Therefore we may write (5.113) and sufficiency follows as in Theorem 5.11. The same proof establishes the following two theorems.

**THEOREM 5.14.** *In order that a real (G) may be such that*

$$\Omega(\sigma, A) \leq \Omega(\{s_n\}, A)$$

*for every real sequence  $\{s_n(x)\}$ , defined over an infinite set  $A$ ,  $C_4$  and  $C_5$  are necessary and sufficient.‡*

**THEOREM 5.15.** *In order that a real (G) may be such that*

$$\Omega(\sigma, A) \leq \Omega(\{s_n\}, A)$$

*for every real sequence  $\{s_n(x)\}$ , defined over an infinite set  $A$  and bounded above (below) over  $A$  for all  $n$ ,  $C_4$  and  $C_5$  are necessary and sufficient.§*

\* Compare Trans. Theorem 4.112.

† Compare Trans. Theorem 4.131.

‡ Compare Trans. Theorem 4.132.

§ Compare Trans. Theorem 4.133.

THEOREM 5.21. *In order that (G) may be such that  $\Omega(\sigma, A) = 0$  for every sequence  $\{s_n(x)\}$ , defined over an arbitrary set  $A$  and bounded over  $A$  for all  $n$ , such that  $\Omega(\{s_n\}, A) = 0$ , no further conditions need be imposed upon  $a_{nk}$ .\**

Letting  $\{x_i\}$  be any sequence of points of  $A$ , and  $q$  be an arbitrarily small positive number, we can choose an index  $p$  for which (5.111) holds; and using (5.112) obtain (5.113) precisely as in Theorem 5.11. But

$$\limsup_{t \rightarrow t_0, u \rightarrow t_0} qB(t)B(u) \leq qB^2;$$

hence  $\Omega(\sigma, A) \leq qB^2$ . Since  $qB^2$  is arbitrarily small,  $\Omega(\sigma, A) = 0$  and the theorem is proved.

THEOREM 5.22. *In order that (G) may be such that  $\Omega(\sigma, A) = 0$  for every sequence  $\{s_n(x)\}$ , defined over an infinite set  $A$ , such that  $\Omega(\{s_n\}, A) = 0$ ,  $C_5$  is necessary and sufficient.†*

Necessity follows from Lemma 4.2 (or 4.3). The sufficiency proof is a modification of that of Theorem 5.13 in the same sense that the proof of Theorem 5.21 is a modification of the sufficiency proof of Theorem 5.11. The same proof establishes the two following theorems.

THEOREM 5.23. *In order that a real (G) may be such that  $\Omega(\sigma, A) = 0$  for every real sequence  $\{s_n(x)\}$ , defined over an infinite set  $A$ , such that  $\Omega(\{s_n\}, A) = 0$ ,  $C_5$  is necessary and sufficient.‡*

THEOREM 5.24. *In order that a real (G) may be such that  $\Omega(\sigma, A) = 0$  for every real sequence  $\{s_n(x)\}$ , defined over an infinite set  $A$  and bounded above (below) over  $A$  for all  $n$ , such that  $\Omega(\{s_n\}, A) = 0$ ,  $C_5$  is necessary and sufficient.§*

## 6. PROOF OF A TYPICAL THEOREM INVOLVING $\Omega$ -OSCILLATIONS AT A POINT OVER A SET

THEOREM 6.1. *In order that (G) may be such that*

$$\Omega(x_0; \sigma, A) \leq \Omega(x_0; \{s_n\}, A)$$

*for every sequence  $\{s_n(x)\}$ , defined over a set  $A$  such that  $x_0$  is in  $A^0$  and bounded over a neighborhood  $D$  of  $x_0$  in  $A$  for all  $n$ ,  $C_4$  is necessary and sufficient.||*

Necessity follows from Lemma 4.1. To establish sufficiency, choose  $M$  such that  $|s_n(x)| < M$  over  $D$  for all  $n$ , let  $\{x_i\}$  be any sequence of points of

\* Compare Trans. Theorem 4.22.

† Compare Trans. Theorem 4.231.

‡ Compare Trans. Theorem 4.232.

§ Compare Trans. Theorem 4.233.

|| Compare Trans. Theorem 5.111.

$A$  with the limit  $x_0$ , and let  $q$  be any number greater than  $\Omega(x_0; \{s_n\}, A)$ . Then there is an index  $p$  for which (5.111) holds; let  $p$  be increased if necessary so that  $x_i$  is in  $D$  for  $i \geq p$ . Using (5.112), we obtain (5.114) precisely as in Theorem 5.11; therefore  $\Omega(x_0, \sigma, A) \leq q$  and sufficiency follows.

## 7. PROOF OF A TYPICAL THEOREM INVOLVING $O$ -OSCILLATIONS OVER A SET

THEOREM 7.1. *In order that (G) may be such that*

$$O(\sigma, A) \leq O(\{s_n\}, A)$$

*for every sequence  $\{s_n(x)\}$ , defined over an infinite set  $A$ ,  $C_4$ ,  $C_5$ , and  $C_6$  are necessary and sufficient.\**

Necessity of  $C_4$  follows from Lemma 4.1; and that of  $C_5$  and  $C_6$  from Lemmas 4.4 (or 4.5) and 4.6 (or 4.7) respectively, since, for the  $\{s_n(x)\}$  sequences there defined,  $O(\{s_n\}, A) = 0$  while  $O(\sigma, A) > 0$ . If  $O(\{s_n\}, A) = +\infty$ , no proof of sufficiency is required. If  $O(\{s_n\}, A)$  is finite, let  $q$  be any greater number and let  $\{x_i\}$  be any sequence of points of  $A$ ; then there is an index  $p$  such that  $|s_\mu(x_i) - s_\nu(x_i)| < q$  for  $\mu \geq p, \nu \geq p, i \geq p$ . Using  $C_5$  and  $C_6$ , choose a neighborhood  $\Delta$  of  $t_0$  in  $T$  such that  $a_k(t) = 0, k = 1, 2, 3, \dots, p$ , for  $t$  in  $\Delta$  and also  $\sum_{k=p+1}^{\infty} a_k(t) = 1$  for  $t$  in  $\Delta$ . Then, considering the identity (5.112) with  $j$  replaced by  $i$ , we see that the first four terms of the right member vanish for  $t$  and  $u$  in  $\Delta$ ; hence we obtain (5.113) and, using  $C_4$ , (5.114) with  $j$  replaced by  $i$ . Therefore  $O(\sigma, A) \leq q, O(\sigma, A) \leq O(\{s_n\}, A)$ , and the theorem is proved.

## 8. A CATALOGUE OF THEOREMS

A comparison of the proofs which have been given in §§5–7 with those of the corresponding theorems of Trans. will suggest to the reader all of the modifications of the proofs of the theorems of Chapters II and III of Trans. which are necessary to obtain new theorems involving the general regular transformation (G). We shall, to save space, not give formal statements of the new theorems but shall specify the changes which must be made in the theorems of Trans. to produce the new theorems.

A group of theorems, giving necessary and sufficient conditions that (G) may be such that the  $\Omega$ -oscillation over a set of a transformed function shall not exceed the  $\Omega$ -oscillation over the set of a given sequence, is obtained by replacing (T) by (G) and  $\Omega(\{s_n\}, A)$  by  $\Omega(\sigma, A)$  in Trans. Theorems 4.111–4.133.

A group of theorems, giving necessary and sufficient conditions that (G) may be such that the  $\Omega$ -oscillation over a set of a transformed function shall be zero whenever the  $\Omega$ -oscillation over the set of a given sequence is zero, is obtained

\* Compare Trans. Theorem 7.131.

by replacing  $(T)$  by  $(G)$  and  $\Omega(\{\sigma_n\}, A)$  by  $\Omega(\sigma, A)$  in Trans. Theorems 4.21–4.233.

A group of theorems, giving necessary and sufficient conditions that  $(G)$  may be such that *at a single point or limit point of a set, the  $\Omega$ -oscillation over the set of a transformed function shall not exceed the  $\Omega$ -oscillation over the set of a given sequence*, is obtained by replacing  $(T)$  by  $(G)$  and  $\Omega(x_0; \{\sigma_n\}, A)$  by  $\Omega(x_0, \sigma, A)$  in Trans. Theorems 5.111–5.133.

A group of theorems, giving necessary and sufficient conditions that  $(G)$  may be such that *at a single point or limit point of a set, the  $\Omega$ -oscillation over the set of a transformed function shall be zero whenever the  $\Omega$ -oscillation over the set of a given sequence is zero*, is obtained by replacing  $(T)$  by  $(G)$  and  $\Omega(x_0; \{\sigma_n\}, A)$  by  $\Omega(x_0, \sigma, A)$  in Trans. Theorems 5.21–5.233.

A group of theorems, giving necessary and sufficient conditions that  $(G)$  may be such that *at each point and limit point of a set, the  $\Omega$ -oscillation over the set of a transformed function shall not exceed the  $\Omega$ -oscillation over the set of a given sequence*, is obtained by replacing  $(T)$  by  $(G)$  and  $\Omega(x; \{\sigma_n\}, A)$  by  $\Omega(x, \sigma, A)$  in Trans. Theorems 6.111–6.133.

A group of theorems, giving necessary and sufficient conditions that  $(G)$  may be such that *the  $\Omega$ -oscillation of a transformed function shall be zero at each point and limit point of a set whenever the  $\Omega$ -oscillation of a given sequence is zero at each point and limit point of the set*, is obtained by replacing  $(T)$  by  $(G)$  and  $\Omega(x; \{\sigma_n\}, A)$  by  $\Omega(x, \sigma, A)$  in Trans. Theorems 6.21–6.233.

A group of theorems, giving necessary and sufficient conditions that  $(G)$  may be such that *the  $O$ -oscillation over a set of a transformed function shall not exceed the  $O$ -oscillation over the set of a given sequence*, is obtained by replacing  $(T)$  by  $(G)$  and  $O(\{\sigma_n\}, A)$  by  $O(\sigma, A)$  in Trans. Theorems 7.111–7.133.

A group of theorems, giving necessary and sufficient conditions that  $(G)$  may be such that *the  $O$ -oscillation over a set of a transformed function shall be zero whenever the  $O$ -oscillation over the set of a given sequence is zero*, is obtained by replacing  $(T)$  by  $(G)$  and  $O(\{\sigma_n\}, A)$  by  $O(\sigma, A)$  in Trans. Theorems 7.21–7.233.

A group of theorems, giving necessary and sufficient conditions that  $(G)$  may be such that *at a single point or limit point of a set, the  $O$ -oscillation over the set of a transformed function shall not exceed the  $O$ -oscillation over the set of a given sequence*, is obtained by replacing  $(T)$  by  $(G)$  and  $O(x_0; \{\sigma_n\}, A)$  by  $O(x_0, \sigma, A)$  in Trans. Theorems 8.111–8.133.

A group of theorems, giving necessary and sufficient conditions that  $(G)$  may be such that *at a single point or limit point of a set, the  $O$ -oscillation over the set of a transformed function shall be zero whenever the  $O$ -oscillation over the*

set of a given sequence is zero, is obtained by replacing  $(T)$  by  $(G)$  and  $O(x_0; \{\sigma_n\}, A)$  by  $O(x_0, \sigma, A)$  in Trans. Theorems 8.21–8.233.

A group of theorems, giving necessary and sufficient conditions that  $(G)$  may be such that *at each point and limit point of a set, the  $O$ -oscillation over the set of a transformed function shall not exceed the  $O$ -oscillation over the set of a given sequence*, is obtained by replacing  $(T)$  by  $(G)$  and  $O(x; \{\sigma_n\}, A)$  by  $O(x, \sigma, A)$  in Trans. Theorems 9.111–9.133.

A group of theorems, giving necessary and sufficient conditions that  $(G)$  may be such that *the  $O$ -oscillation of a transformed function shall be zero at each point and limit point of a set whenever the  $O$ -oscillation of a given sequence is zero at each point and limit point of the set*, is obtained by replacing  $(T)$  by  $(G)$  and  $O(x; \{\sigma_n\}, A)$  by  $O(x, \sigma, A)$  in Trans. Theorems 9.21–9.233.

#### 9. APPLICATION TO TRANSFORMATIONS WITH SQUARE MATRICES

A well known family of regular transformations of the form  $(G)$  is obtained by taking  $T$  to be the set of positive integers and  $t_0$  to be the symbolic limit point  $+\infty$ . Then  $a_k(n)$  and  $\sigma(n, x)$  may be written  $a_{nk}$  and  $\sigma_n(x)$ , and  $(G)$  becomes a transformation of the form

$$(S) \quad \sigma_n(x) = \sum_{k=1}^{\infty} a_{nk} s_k(x)$$

which assigns to the sequence  $\{s_n(x)\}$  the value  $\lim_{n \rightarrow \infty} \sigma_n(x) = \sigma(x)$  when the limit exists. In this case what we have called the *transformed function* becomes a *transformed sequence* and we see on referring to the definitions of §3 that the oscillations  $\Omega(\sigma, A)$ ,  $\Omega(x_0, \sigma, A)$ ,  $O(\sigma, A)$ , and  $O(x_0, \sigma, A)$  become respectively  $\Omega(\{\sigma_n\}, A)$ ,  $\Omega(x_0; \{\sigma_n\}, A)$ ,  $O(\{\sigma_n\}, A)$ , and  $O(x_0; \{\sigma_n\}, A)$ . Hence for regular transformations  $(S)$ , the statements of the theorems of §8 become practically identical with the statements of the corresponding theorems of Chapters II and III of Trans. In fact, we may obtain, from each theorem of Chapters II and III of Trans., a theorem involving  $(S)$  by replacing  $(T)$  by  $(S)$  and interpreting  $C_4$ ,  $C_5$ , and  $C_6$  to be the conditions obtained by replacing  $t$  by  $n$ ,  $a_k(t)$  by  $a_{nk}$ , and  $\lim_{t \rightarrow t_0}$  by  $\lim_{n \rightarrow \infty}$  in the conditions of §2.

#### 10. APPLICATION OF THE EULER-ABEL POWER SERIES METHOD

The Euler-Abel transformation assigns to a series  $u_1 + u_2 + \cdots$  the value

$$\lim_{t \rightarrow 1} \sigma(t) = \lim_{t \rightarrow 1} (u_1 + u_2 t + u_3 t^2 + \cdots)$$

when the limit exists, and to a sequence  $\{s_n\}$  the value  $\lim_{t \rightarrow 1} \sigma(t)$ , where

$$(E) \quad \sigma(t) = \sum_{k=1}^{\infty} t^{k-1} (1-t) s_k,$$

when the limit exists. That the transformation  $(E)$  is regular when the set  $T$  over which  $t$  approaches 1 is the real set  $-1 < t < 1$  was first shown by Abel. It has been pointed out by Hurwitz\* that a necessary and sufficient condition that  $(E)$  be regular is that the set  $T$  be a set of a region  $R$  interior to the circle  $|t| = 1$  and between some pair of chords through  $t = 1$ .

For  $(E)$  we have, in the notation of  $(G)$ ,  $a_k(t) = t^{k-1}(1-t)$ ; and we see at once that  $(E)$  fails to satisfy  $C_5$  when  $T$  is any set of  $R$ , and that  $(E)$  satisfies  $C_6$  when  $T$  is any set of  $R$ .

We find further: *In order that a regular  $(E)$  may satisfy  $C_4$ , it is necessary and sufficient that  $T$  be such that for each sequence  $t_n = \xi_n + i\eta_n$  of points of  $T$  with the limit 1, we have  $\lim_{n \rightarrow \infty} \eta_n / (1 - \xi_n) = 0$ . In particular, if  $T$  is the set  $-1 < t < 1$ , then  $(E)$  satisfies  $C_4$ .*

#### 11. APPLICATION OF THE BOREL-SANNIA TRANSFORMATIONS

For each integer  $r$  (positive, zero, and negative), the Borel-Sannia transformation of order  $r$ † is given by

$$(B_r) \quad \sigma^{(r)}(t) = \sum_{k=1}^{\infty} e^{-t} \frac{t^{k-r}}{(k-r)!} s_k, \ddagger$$

and it assigns to a sequence  $\{s_n\}$  the value  $\lim_{R(t) \rightarrow +\infty} \sigma^{(r)}(t)$  when this limit exists. A necessary and sufficient condition that  $(B_r)$  be regular is that for all points  $t = \xi + i\eta$  of  $T$  with sufficiently great positive abscissas,  $\eta^2/\xi$  shall be bounded.§

For  $(B_r)$ ,  $a_k^{(r)}(t) = e^{-t} t^{k-r} / (k-r)!$  and we see that any regular  $(B_r)$  fails to satisfy  $C_5$ . Considering  $C_6$ , we find that any regular  $(B_r)$  of order  $r \geq 1$  satisfies  $C_6$  and any regular  $(B_r)$  of order  $r \leq 0$  fails to satisfy  $C_6$ .

For each integer  $r$  we find the following: *In order that a regular  $(B_r)$  may satisfy  $C_4$ , it is necessary and sufficient that  $T$  be such that for each sequence  $t_n = \xi_n + i\eta_n$  of points of  $T$  such that  $\xi_n \rightarrow +\infty$ , we have  $\lim \eta_n^2 / \xi_n = 0$ . In particular, if  $T$  is the set of positive real numbers, then  $(B_r)$  satisfies  $C_4$ .*

\* Bulletin of the American Mathematical Society, vol. 28 (1922), p. 24.

† G. Sannia, Rendiconti del Circolo Matematico di Palermo, vol. 42 (1917), pp. 303-322. Note that  $B_1$  is the Borel mean or the Borel exponential transformation, and that  $B_0$  is the Borel integral transformation.

‡ Here  $1/(k-r)! = 0$  where  $k-r < 0$ . This convention is justified by the behavior of the reciprocal of the gamma function.

§ W. A. Hurwitz, Bulletin of the American Mathematical Society, loc. cit., p. 25.